

**CITY OF LODI  
SUMMARY OF CASH AND INVESTED FUNDS  
FOR THE QUARTER ENDED MARCH 31, 2018**

<b>TYPE OF INVESTMENT</b>	<b>Interest Rate</b>	<b>Maturity Date</b>	<b>Par Value</b>	<b>Cost</b>	<b>Market Value</b>	<b>% of Portfolio</b>
<b><u>Cash and Equivalents</u></b>						
Farmers & Merchants Bank Main *		Due on Demand		1,769,840	1,769,840	1.93%
Farmers & Merchants Bank Payroll *		Due on Demand		42,897	42,897	0.05%
Local Agency Investment Fund		Due on Demand		29,820,555	29,820,555	32.45%
Farmer's & Merchants Bank Money Market *		Due on Demand		4,132,135	4,132,135	4.50%
Wells Fargo Bank Money Market *		Due on Demand		260,802	260,802	0.28%
<b>Total Demand Deposit Accounts</b>				<b>36,026,229</b>	<b>36,026,229</b>	<b>39.20%</b>
<b><u>Bond Mutual Fund</u></b>						
CAL TRUST Short Term Fund		Due on Demand		7,874,798	7,874,798	8.57%
CAL TRUST Medium Term Fund		Due on Demand		18,339,058	18,339,058	19.96%
<b>Total Bond Mutual Funds</b>				<b>26,213,856</b>	<b>26,213,856</b>	<b>28.53%</b>
<b><u>Local Bank Certificates of Deposit</u></b>						
Farmers & Merchants Bank	1.00%	11/7/2019	2,000,000	2,000,000	2,000,000	2.18%
BBVA Compass **	2.50%	3/13/2021	249,995	249,995	249,995	0.27%
<b>Total Local Bank Certificates of Deposit</b>			<b>2,249,995</b>	<b>2,249,995</b>	<b>2,249,995</b>	<b>2.45%</b>
<b><u>Negotiable Certificates of Deposit</u></b>						
MORGAN STANLEY BANK NA	1.80%	11/22/2019	250,000	250,000	247,674	0.27%
MORGAN STANLEY PRIVATE BANK	1.75%	11/22/2019	250,000	250,000	247,473	0.27%
WEX BANK INTEREST BEARING	1.75%	11/22/2019	250,000	250,000	247,473	0.27%
PACIFIC CITY BANK INTEREST	1.70%	11/25/2019	250,000	250,000	247,232	0.27%
MARQUIS BANK INTEREST BEARING	1.70%	11/29/2019	250,000	250,000	247,193	0.27%
BRIDGEWATER BANK BLOOMINGTON MINNESOTA **	2.20%	8/17/2020	250,000	250,000	247,779	0.27%
BELMONT SAVINGS BANK INTEREST	1.95%	11/23/2020	250,000	250,000	245,751	0.27%
TIAA FSB INTEREST BEARING	1.95%	11/23/2020	250,000	250,000	245,770	0.27%
ALLY BANK INTEREST BANKING	2.05%	11/24/2020	250,000	250,000	246,401	0.27%
BERKSHIRE BANK PITTSFIELD	1.85%	11/24/2020	250,000	250,000	245,127	0.27%
NEBRASKALAND NATIONAL BANK	1.85%	11/27/2020	250,000	250,000	245,084	0.27%
MB FINANCIAL BANK NA	2.00%	11/17/2021	250,000	250,000	243,930	0.27%
MERRICK BANK	2.10%	11/17/2021	250,000	250,000	244,795	0.27%
DISCOVER BANK	2.15%	11/22/2021	250,000	250,000	245,223	0.27%
THIRD FEDERAL SAVINGS & LOAN CLEVELAND	2.10%	11/24/2021	250,000	250,000	244,780	0.27%
BMW BANK NORTH AMERICA	2.20%	11/29/2021	250,000	250,000	245,619	0.27%
ENERBANK USA **	2.55%	1/18/2022	250,000	250,000	248,510	0.27%
CAPITAL ONE BANK USA NA	2.40%	11/22/2022	250,000	250,000	245,499	0.27%
CAPITAL ONE NA	2.40%	11/22/2022	250,000	250,000	245,499	0.27%
GOLDMAN SACHS BANK USA	2.40%	11/22/2022	250,000	250,000	245,499	0.27%
WELLS FARGO BANK NA	2.40%	11/22/2022	250,000	250,000	244,543	0.27%
JP MORGAN CHASE BANK NA	2.30%	11/30/2022	250,000	250,000	244,367	0.27%
AMERICAN EXPRESS BANK FSB **	2.50%	12/5/2022	250,000	246,699	245,660	0.27%
SYNCHRONY BANK **	2.60%	1/19/2023	250,000	250,000	247,356	0.27%
SALLIE MAE BANK/SALT LAKE CITY, UTAH **	2.65%	1/24/2023	250,000	250,000	247,889	0.27%
STATE BANK OF INDIA **	2.70%	2/16/2023	250,000	250,000	248,338	0.27%
<b>Total Negotiable Certificates of Deposit</b>			<b>6,500,000</b>	<b>6,496,699</b>	<b>6,400,457</b>	<b>6.97%</b>
<b><u>Agency Securities</u></b>						
FEDERAL HOME LOAN BANK **	1.38%	3/18/2019	500,000	496,996	496,171	0.54%
FEDERAL FARM CREDIT BANK SYSTEM **	1.45%	6/3/2019	500,000	496,507	495,767	0.54%
FEDERAL HOME LOAN BANK **	0.88%	8/5/2019	500,000	491,629	491,013	0.53%
FEDERAL FARM CREDIT BANK SYSTEM **	1.50%	12/30/2019	500,000	495,085	493,675	0.54%
FEDERAL NATIONAL MORTGAGE ASSOCIATION **	1.50%	2/28/2020	500,000	494,585	492,453	0.54%
FEDERAL FARM CREDIT BANK SYSTEM **	1.55%	7/27/2020	500,000	492,355	490,172	0.53%
FEDERAL HOME LOAN MORTGAGE CORPORATION **	2.15%	1/26/2021	500,000	498,625	495,033	0.54%
FEDERAL HOME LOAN BANK **	1.50%	6/30/2021	250,000	242,625	241,722	0.26%
FEDERAL HOME LOAN MORTGAGE CORPORATION STEP **	1.02%	7/27/2021	250,000	246,750	245,373	0.27%
FEDERAL NATIONAL MORTGAGE ASSOCIATION **	2.30%	7/30/2021	250,000	249,125	247,929	0.27%
FEDERAL HOME LOAN BANK **	1.65%	4/19/2022	500,000	481,125	481,235	0.52%
FEDERAL NATIONAL MORTGAGE ASSOCIATION **	2.25%	10/27/2022	250,000	246,063	244,937	0.27%
FEDERAL HOME LOAN MORTGAGE CORPORATION **	2.45%	12/29/2022	500,000	494,125	494,182	0.54%
FEDERAL NATIONAL MORTGAGE ASSOCIATION **	2.38%	1/19/2023	250,000	246,300	246,858	0.27%
FEDERAL HOME LOAN MORTGAGE CORPORATION STEP **	2.00%	1/30/2023	250,000	249,375	248,737	0.27%

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<b>Agency Securities (continued)</b>						
FEDERAL HOME LOAN MORTGAGE CORPORATION **	2.70%	2/23/2023	250,000	248,000	248,995	0.27%
FEDERAL FARM CREDIT BANK SYSTEM **	2.65%	3/8/2023	250,000	249,325	249,595	0.27%
<b>Total Agency Securities</b>			<b>6,500,000</b>	<b>6,418,593</b>	<b>6,403,844</b>	<b>6.97%</b>

<b>US Treasury Notes</b>	<b>Interest Rate</b>	<b>Maturity Date</b>	<b>Par Value</b>	<b>Cost</b>	<b>Market Value</b>	<b>% of Portfolio</b>
UNITED STATES TREASURY **	0.75%	2/15/2019	500,000	494,071	494,141	0.54%
UNITED STATES TREASURY **	1.63%	4/30/2019	500,000	497,934	497,168	0.54%
UNITED STATES TREASURY **	1.25%	5/31/2019	500,000	495,276	494,707	0.54%
UNITED STATES TREASURY **	1.38%	7/31/2019	500,000	495,315	494,551	0.54%
UNITED STATES TREASURY **	1.75%	9/30/2019	500,000	497,480	496,426	0.54%
UNITED STATES TREASURY **	1.38%	4/30/2020	500,000	491,523	490,410	0.53%
UNITED STATES TREASURY **	1.63%	6/30/2020	500,000	493,607	492,266	0.54%
UNITED STATES TREASURY **	2.00%	12/31/2021	400,000	394,512	392,922	0.43%
UNITED STATES TREASURY **	2.00%	10/31/2022	250,000	243,745	244,141	0.27%
UNITED STATES TREASURY **	2.00%	11/30/2022	250,000	243,603	244,033	0.27%
UNITED STATES TREASURY **	2.13%	12/31/2022	250,000	244,688	245,186	0.27%
UNITED STATES TREASURY **	1.50%	2/28/2023	250,000	237,063	237,744	0.26%
UNITED STATES TREASURY **	1.50%	3/31/2023	250,000	236,790	237,500	0.26%
<b>Total US Treasury Notes</b>			<b>5,150,000</b>	<b>5,065,606</b>	<b>5,061,193</b>	<b>5.51%</b>

<b>Corporate Securities</b>	<b>Interest Rate</b>	<b>Maturity Date</b>	<b>Par Value</b>	<b>Cost</b>	<b>Market Value</b>	<b>% of Portfolio</b>
TOYOTA MOTOR CREDIT CORPORATION **	2.10%	1/17/2019	500,000	501,165	497,561	0.54%
PEPSICO INC **	1.35%	10/4/2019	500,000	494,006	490,994	0.53%
GENERAL ELECTRIC CO **	2.20%	1/9/2020	500,000	499,181	492,354	0.54%
CHEVRON CORP **	1.96%	3/3/2020	500,000	498,091	492,866	0.54%
IBM CORP **	1.63%	5/15/2020	500,000	494,010	488,533	0.53%
BANK OF AMERICA CORP **	2.63%	10/19/2020	500,000	503,172	496,547	0.54%
WELLS FARGO & COMPANY **	2.55%	12/7/2020	200,000	199,591	196,560	0.21%
MICROSOFT CORP **	4.00%	2/8/2021	100,000	104,600	103,338	0.11%
BERKSHIRE HATHAWAY INC **	2.20%	3/15/2021	100,000	99,297	98,715	0.11%
PNC BANK NA **	2.15%	4/29/2021	500,000	495,965	485,698	0.53%
COSTCO WHOLESALE CORP **	2.15%	5/18/2021	100,000	99,008	98,241	0.11%
ALPHABET INC **	3.63%	5/19/2021	100,000	104,000	103,055	0.11%
APPLE INC **	1.55%	8/4/2021	500,000	485,396	479,164	0.52%
PFIZER INC **	2.20%	12/15/2021	200,000	198,284	196,866	0.21%
TOYOTA MOTOR CREDIT CORP **	2.60%	1/11/2022	250,000	246,665	246,988	0.27%
ORACLE CORP **	2.50%	5/15/2022	100,000	99,204	98,037	0.11%
UNITED PARCEL SERVICE **	2.35%	5/16/2022	100,000	98,749	97,573	0.11%
DEERE & COMPANY **	2.60%	6/8/2022	100,000	99,153	97,630	0.11%
MERCK & CO INC **	2.40%	9/15/2022	100,000	98,701	97,634	0.11%
JPMORGAN CHASE & CO **	3.25%	9/23/2022	100,000	101,377	99,704	0.11%
GENERAL ELECTRIC CO **	2.70%	10/9/2022	250,000	242,535	242,432	0.26%
MICROSOFT CORP **	2.65%	11/3/2022	250,000	247,580	246,805	0.27%
AUSTRALIA & NEW ZEALAND BANKING GROUP NY **	2.63%	11/9/2022	250,000	243,450	242,582	0.26%
JPMORGAN CHASE & CO **	2.97%	1/15/2023	150,000	147,503	147,130	0.16%
BANK OF NEW YORK MELLON CORP **	2.95%	1/29/2023	100,000	100,069	98,744	0.11%
WELLS FARGO & COMPANY **	3.45%	2/13/2023	150,000	152,147	147,919	0.16%
UNITED HEALTH GROUP INC **	2.75%	2/15/2023	100,000	99,143	97,763	0.11%
EXXON MOBIL CORPORATION **	2.73%	3/1/2023	250,000	247,040	246,909	0.27%
<b>Total Corporate Securities</b>			<b>7,050,000</b>	<b>6,999,083</b>	<b>6,928,341</b>	<b>7.54%</b>

<b>Municipal Securities</b>	<b>Interest Rate</b>	<b>Maturity Date</b>	<b>Par Value</b>	<b>Cost</b>	<b>Market Value</b>	<b>% of Portfolio</b>
UNIVERSITY OF CALIFORNIA **	3.24%	5/15/2021	150,000	152,684	153,099	0.17%
BURBANK-GLENDALE-PASADENA AIPORT AUTHORITY **	4.13%	7/1/2021	90,000	94,323	92,675	0.10%
LA MIRADA CA REDEVELOPMENT **	2.91%	8/15/2021	250,000	253,195	249,823	0.27%
YUBA LEVEE FINANCING AUTHORITY **	2.86%	9/1/2021	250,000	120,960	249,910	0.27%
ROSEVILLE FINANCE AUTHORITY - ELECTRIC SYSTEM **	2.41%	2/1/2022	250,000	246,915	246,323	0.27%
STATE OF MARYLAND **	2.65%	3/15/2022	300,000	300,480	300,807	0.33%
CALIFORNIA EDUCATIONAL FACILITIES AUTHORITY **	2.46%	4/1/2022	250,000	246,270	245,188	0.27%
ADELANTO PUBLIC UTILITIES **	3.50%	7/1/2022	240,000	246,936	241,090	0.26%
SAN BERNARDINO COUNTY REDEVELOPMENT **	3.25%	9/1/2022	160,000	161,840	159,426	0.17%
VISTA CA REDEVELOPMENT **	3.00%	9/1/2022	120,000	252,980	121,098	0.13%

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<b>Municipal Securities (continued)</b>						
CALIFORNIA DEPARTMENT OF WATER RESOURCES **	2.52%	12/1/2022	275,000	272,212	271,645	0.30%
CALIFORNIA HOUSING FINANCE AGENCY **	2.88%	2/1/2023	200,000	200,000	198,426	0.22%
CARSON CA REDEVELOPMENT **	3.00%	2/1/2023	80,000	81,000	78,681	0.09%
<b>Total Municipal Securities</b>			<b>2,615,000</b>	<b>2,629,794</b>	<b>2,608,188</b>	<b>2.84%</b>
<b>INVESTMENTS SUBTOTAL NOT INCLUDING CASH &amp; EQUIVALENTS</b>			<b>30,064,995</b>	<b>29,859,769</b>	<b>29,652,018</b>	<b>32.27%</b>
<b>TOTAL ALL INVESTMENTS INCLUDING CASH &amp; EQUIVALENTS</b>				<b>92,099,854</b>	<b>91,892,103</b>	<b>100.00%</b>

\* End of month bank balances are reflected on this report.  
\*\* Investments purchased during this reporting period.

**CERTIFICATION**

I certify this report accurately reflects all city pooled investments and is in conformity with state law and the investment policy statement adopted by the City Council on January 20, 2016. The investment program herein shown provides sufficient cash liquidity to meet the next six months estimated expenditures. A copy of this report is available in the City Clerk's Office or online at <http://www.lodi.gov/finance/revenue.html>.

  
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Andrew Keys, Treasurer/Director of Finance

5/7/2018  
\_\_\_\_\_  
Review Date

  
\_\_\_\_\_  
Susan Bjork, Senior Budget Analyst

5/7/18  
\_\_\_\_\_  
Review Date

<b>IRS Section 115 Retirement Benefit Trust Funds</b>	<b>Market Value</b>
<b><u>Public Agency Retirement Services - Pension Stabilization Fund</u></b>	
Balanced Strategy Mutual Fund	\$ 10,461,340
<b>Total Pension Stabilization Fund</b>	<b>\$ 10,461,340</b>
<b><u>CalPERS - California Employer's Retiree Benefit Trust (CERBT)</u></b>	
CERBT Strategy 2	\$ 1,134,832
<b>Total CERBT</b>	<b>\$ 1,134,832</b>
<b>Total Section 115 Retirement Benefit Trust Funds</b>	<b>\$ 11,596,172</b>
<b><u>Restricted Cash Accounts</u></b>	
<b><u>PCE/TCE Central Plume Remediation Fund</u></b>	
Farmers & Merchants Bank Money Market	\$ 2,307,816
<b>Total PCE/TCE Central Plume Account</b>	<b>\$ 2,307,816</b>
<b>Total Restriction Cash Accounts</b>	<b>\$ 2,307,816</b>